

#### Commutation, motivation, localisation

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SIG

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#### Contents

#### • Motivation

• Commutation in disguise

Local commutation

#### Commutation



#### Commutation

# Definition $\triangleright$ commutes with $\blacktriangleright$ if $\blacktriangleleft \cdot \blacktriangleright \subset \triangleright \cdot \blacktriangleleft$

#### Commutation









































 $\mathsf{Is} \to \mathsf{confluent?}$ 



Is  $\rightarrow$  confluent? Yes



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- confluence modularly if  $\forall i, j \rightarrow_i$  commutes with  $\rightarrow_j \implies$ , then  $\bigcup_k \rightarrow_k$  confluent

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- termination modularly
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  if ⊲, ► commute lazily then ⊲, ► terminating iff ⊲ ∪ ►
  terminating
- behavioural equivalence (bisimulation-up-to)  $\triangleleft \cdot \sim \subset \sim \cdot \triangleleft$  and  $\sim \cdot \triangleright \subset \triangleright \cdot \sim (R \text{ vs. } \sim \cdot R \cdot \sim)$

#### rewriting semantics (meaning is closed normal form)

$$a(0, y) \triangleright y$$
  
 $a(s(x), y) \triangleright s(a(x, y))$ 

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$$a(0, y) \triangleright y$$
  
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rewriting transformation

$$a(x,0) \triangleright x$$

rewriting semantics (meaning is closed normal form)

$$\begin{array}{rcl} a(0,y) & \blacktriangleright & y \\ a(s(x),y) & \blacktriangleright & s(a(x,y)) \end{array}$$

rewriting transformation

$$a(x,0) \triangleright x$$

#### Lemma

transformation is correct

rewriting semantics (meaning is closed normal form)

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#### Exercise

prove this

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$$a(0, y) \triangleright y$$
  
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$$a(a(x,y),z) \triangleright a(x,a(y,z))$$

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prove this does not hold. what does hold?

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idea: transformation  $\triangleright \cup \triangleright$  commutes with semantics  $\triangleright$ 

### Exercise

prove this does hold.

JN & VvO (UIBK)

# Ordered commutation

## Definition

▷ ordered commutes with ▷ if  ${}^n \triangleleft \cdot ▷^m \subseteq ▷^{m'} \cdot {}^{n'} \triangleleft$ ,  $n + m' \leqslant m + n'$ 



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rewriting optimisation

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#### Lemma

optimisation is correct

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idea: optimisation  $\triangleright$  ordered commutes with semantics  $\blacktriangleright$ 

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prove this does not hold. what does hold?

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idea: optimisation  $\triangleright \cup \triangleright$  ordered commutes with semantics  $\triangleright$ 

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prove this does hold.

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Local commutation

# Factorisation

if  $\blacktriangleleft \cdot \triangleright \subseteq \triangleright \cdot \triangleleft$ , then  $\triangleright$  commutes with  $\triangleright$ 



# Factorisation

if  $\bowtie \cdot \bowtie \subseteq \bowtie \cdot \bowtie$ , then  $\blacktriangleright, \triangleright$  factorisation holds



### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

Theorem ( $\eta$ -postponement)

 $\eta$ -steps can be postponed until after  $\beta$ -steps

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

Theorem ( $\eta$ -postponement)

$$M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$$

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

Theorem ( $\eta$ -postponement)

$$M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$$

### Proof.

Idea: repeatedly replace  $M \rightarrow_{\eta} P \rightarrow_{\beta} N$  by  $M \twoheadrightarrow_{\beta} Q \twoheadrightarrow_{\eta} N$ 

## $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

Theorem ( $\eta$ -postponement)

$$M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$$

## Proof.

Idea: repeatedly replace  $M o_\eta P o_\beta N$  by  $M o_\beta Q o_\eta N$ 

### Exercise

Would this idea be sufficient to prove postponement? Rephrased: is this process terminating/normalising in general/here?

 $\lambda\text{-calculus}$  with  $\beta$  and  $\eta\text{-reduction}$ 

Theorem ( $\eta$ -postponement)

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Idea: repeatedly replace  $M \rightarrow_{\eta} P \rightarrow_{\beta} N$  by  $M \twoheadrightarrow_{\beta} Q \twoheadrightarrow_{\eta} N$ 

### Exercise

Would this idea be sufficient to prove postponement? Rephrased: is this process terminating/normalising in general/here?

#### Answer

No, e.g.  $01 \Rightarrow 1100$  is not terminating

 $\underline{01}1 \Rightarrow 110\underline{01} \Rightarrow 111\underline{011}00 \Rightarrow \dots$ 

 $\lambda\text{-calculus}$  with  $\beta$  and  $\eta\text{-reduction}$ 

Theorem ( $\eta$ -postponement)  $M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$ 

### Proof.

 $C[\lambda x.Mx] \rightarrow_{\eta} C[M] \rightarrow_{\beta} N$ , distinguish on where  $\beta$ -step is

- if  $M \rightarrow_{\beta} P$ , then  $C[\lambda x.Mx] \rightarrow_{\beta} C[\lambda x.Px] \rightarrow_{\eta} C[P]$
- if  $C \rightarrow_{\beta} D$ , then  $C[\lambda x.Mx] \rightarrow_{\beta} D[\lambda x.Px] \twoheadrightarrow_{\eta} C[P]$
- if overlaps both C,M,  $C[\lambda x.Mx] \rightarrow_{\beta} P \rightarrow_{\beta} N$

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

Theorem ( $\eta$ -postponement)

$$M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$$

### Proof.

Idea: repeatedly replace  $M \xrightarrow{}_{\eta} P \xrightarrow{}_{\beta} N$  by  $M \xrightarrow{}_{\beta}^{+} Q \xrightarrow{}_{\eta} N$ 

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

Theorem ( $\beta$ -preponement)

 $\beta$ -steps can be preponed before  $\beta$ -steps

 $\lambda\text{-calculus}$  with  $\beta$  and  $\eta\text{-reduction}$ 

## Theorem ( $\beta$ -preponement)

 $M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$ so termination of  $\beta\eta$  follows from termination of  $\beta,\eta$ 

### $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

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### Proof.

Idea: replace leftmost  $M \rightarrow_{\eta} P \rightarrow_{\beta} N$  by  $M \twoheadrightarrow_{\beta} Q \twoheadrightarrow_{\eta} N$ 

## $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

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Idea: replace leftmost  $M \rightarrow_{\eta} P \rightarrow_{\beta} N$  by  $M \twoheadrightarrow_{\beta} Q \twoheadrightarrow_{\eta} N$ 

### Exercise

Would idea be sufficient to prove preponement, in general/here?

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 $M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$ so termination of  $\beta\eta$  follows from termination of  $\beta,\eta$ 

### Proof.

Idea: replace leftmost 
$$M \rightarrow_{\eta} P \rightarrow_{\beta} N$$
 by  $M \twoheadrightarrow_{\beta} Q \twoheadrightarrow_{\eta} N$ 

#### Exercise

Would idea be sufficient to prove preponement, in general/here?

#### Answer

No, e.g.  $b \rightarrow^0 a \rightarrow^{0,1} a' \rightarrow^1 c \ (01 \Rightarrow 00,01 \Rightarrow 11 \text{ not terminating})$ 

 $\underline{01}1 \rightarrow 0\underline{01} \rightarrow \underline{011} \rightarrow \dots$ 

## $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

## Theorem ( $\beta$ -preponement)

 $M \twoheadrightarrow_{\beta\eta} N \implies M \twoheadrightarrow_{\beta} \cdot \twoheadrightarrow_{\eta} N$ so termination of  $\beta\eta$  follows from termination of  $\beta,\eta$ 

### Proof.

$$C[\lambda x.Mx] \rightarrow_{\eta} C[M] \rightarrow_{\beta} N$$

- if  $M \rightarrow_{\beta} P$ , then  $C[\lambda x.Mx] \rightarrow_{\beta} C[\lambda x.Px] \rightarrow_{\eta} C[P]$
- if  $C \rightarrow_{\beta} D$ , then  $C[\lambda x.Mx] \rightarrow_{\beta} C[\lambda x.Px] \rightarrow_{\eta} C[P]$
- if overlaps both  $C, M, C[\lambda x.Mx] \rightarrow_{\beta} P \rightarrow_{\beta} N$

## $\lambda\text{-calculus}$ with $\beta$ and $\eta\text{-reduction}$

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- if  $C \rightarrow_{\beta} D$ , then  $C[\lambda x.Mx] \rightarrow_{\beta} C[\lambda x.Px] \rightarrow_{\eta} C[P]$
- if overlaps both  $C, M, C[\lambda x.Mx] \rightarrow_{\beta} P \rightarrow_{\beta} N$

Idea: repeatedly replace  $M \rightarrow_{\eta} P \rightarrow_{\beta} N$  by  $M \rightarrow_{\beta} Q \twoheadrightarrow_{\beta\eta} N$ 

## Contents

## Motivation

• Commutation in disguise

• Local commutation

# Commuting version of Newman's Lemma

### Theorem

local commutation implies commutation, if  $\rightarrow = \triangleright \cup \triangleright$  terminating



# Commuting version of Newman's Lemma

### Theorem

local commutation implies commutation, if  $\rightarrow = \triangleright \cup \triangleright$  terminating

### Proof.

negative: infinite tiling impossible positive:  $\forall a, \triangleleft a \models \subseteq \blacktriangleright \cdot \triangleleft$  by induction on *a*, ordered by  $\rightarrow^+$   $\Box$ 

# Commuting version of Newman's Lemma

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local commutation implies commutation, if  $\rightarrow = \triangleright \cup \triangleright$  terminating

### Exercise

show that without termination commutation need not hold
#### Theorem

local commutation implies commutation, if  $\rightarrow = \triangleright \cup \blacktriangleright$  terminating

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#### Theorem

local commutation implies commutation, if  $\rightarrow = \triangleright \cup \triangleright$  terminating

## Exercise

show that without termination commutation need not hold exercise: does commutation hold if  $\triangleright^+ \cdot \triangleright^+$  terminating?



#### Theorem

local commutation implies commutation, if  $\triangleright^+ \cdot \triangleright^+$  terminating

#### Proof.

idea: use decreasing diagrams with self-labelling

- label  $a \triangleright b$  as  $a \triangleright_{a \triangleright b} b$
- label a ► b as a ►<sub>a►b</sub> b
- order ≻ generated by 'reachability':

$$(a \triangleright b) \succ (c \triangleright d)$$
, if  $b \twoheadrightarrow c$ 

$$(a \triangleright b) \succ (c \triangleright d)$$
, if  $b \twoheadrightarrow c$ 

with  $\rightarrow = \triangleright \cup \triangleright$ , well-founded because of assumption

## Theorem

local commutation implies commutation, if  $\triangleright^+ \cdot \triangleright^+$  terminating



#### Theorem

local commutation implies commutation, if  $\triangleright^+ \cdot \flat^+$  terminating



#### Theorem

local commutation implies commutation, if  $\triangleright^+ \cdot \triangleright^+$  terminating

## Proof.



# Theorem (Hindley 1964) strong commutation implies commutation

## Proof.

## intuition: tiling terminates since only > steps are split

## Proof.

intuition: tiling terminates since only  $\triangleright$  steps are split



## Proof.

intuition: tiling terminates since only > steps are split



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## Proof.

intuition: tiling terminates since only > steps are split



must stop: each > stripe is eventualy filled

## Local decreasingness


## Exercise



#### Exercise find 4 different labellings; how many labels needed? b a 100 100 100 100 99 99 99 a 98 h 98 6 96 Hans' labelling: top-bottom, high as possible

# Exercise find 4 different labellings; how many labels needed? 0 d h a h а $b \succ d \succ c \succ f \succ g \succ h \succ e \succ a \succ j \succ i$ (topological sort)

# Exercise find 4 different labellings; how many labels needed? d е а a $b \succ d \succ c \succ f \succ g \succ h \succ e \succ a \succ j \succ i$ (topological sort)

## Exercise find 4 different labellings; how many labels needed? b 0 а 0 0 6 0 0 two labels: 1 > 0

## Exercise



#### Exercise

• find suitable labelling to prove Newman's Lemma

## Exercise

find suitable labelling to prove Newman's Lemma
 Answer: label steps by source, order by →<sup>+</sup> with → = ▷ ∪ ▶



## Exercise

find suitable labelling to prove Newman's Lemma
 Answer: label steps by source, order by →<sup>+</sup> with → = ▷ ∪ ▶



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find suitable labelling to prove Newman's Lemma
 Answer: label steps by source, order by →<sup>+</sup> with → = ▷ ∪ ▶



#### Exercise

• find suitable labelling to prove Hindley's Lemma

## Exercise

 find suitable labelling to prove Hindley's Lemma Answer: order ►-steps above ▷-steps



## Exercise

 find suitable labelling to prove Hindley's Lemma Answer: order ►-steps above ▷-steps



## Exercise

 find suitable labelling to prove Hindley's Lemma Answer: order ►-steps above ▷-steps



# Proof. local peak may not be base case









## Proof.

### idea: combine label with labels it still has to commute with



#### Proof.

idea: combine label with labels it still has to commute with



*i* still has to commute with j; j still has to commute with i

#### Proof.

### idea: combine label with labels it still has to commute with



# Proof. idea: combine label with labels it still has to commute with



- i has to commute with  $\prec j$
- *j* does not have to commute anymore
- $\prec i, j$  have to commute among themselves

#### Proof.

formally: compare label strings of conversions by  $s \gg_{\bullet} t$  with

$$s \gg_{ullet} t$$
 if  $\langle s 
angle^f ((\succ,\gg_{ullet})_{\mathit{mul}} \langle t 
angle^f$ 

- $\langle s \rangle^f = [(\ell, q) \mid s = p\ell q] \cup [(\ell, p) \mid s = p\ell q]$ collects acute/grave letters together with suffix/prefix
- $\gg_{mul}$  the multiset extension of  $\gg$
- $(\gg_1,\gg_2)_{lex}$  the lexicographic product of  $\gg_1,\gg_2$

#### Proof.

formally: compare label strings of conversions by  $s \gg_{\bullet} t$  with

$$s \gg_{ullet} t$$
 if  $\langle s 
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angle^f$ 

- $\langle s \rangle^f = [(\ell, q) \mid s = p\ell q] \cup [(\ell, p) \mid s = p\ell q]$ collects acute/grave letters together with suffix/prefix
- $\gg_{mul}$  the multiset extension of  $\gg$
- $(\gg_1,\gg_2)_{lex}$  the lexicographic product of  $\gg_1$ ,  $\gg_2$
- $\gg_{ullet}$  well-founded prooforder

## Locally decreasing for self

## Theorem

locally decreasing  $\Rightarrow$  confluence



## Locally decreasing for self

#### Theorem

locally decreasing  $\Rightarrow$  confluence



 $\rightarrow = \bigcup_{i \in I} \rightarrow_i$ ,  $\prec$  well-founded order on I

complete for countable systems

# (In)completeness of decreasing diagrams

#### Theorem

if a countable rewrite relation is confluent, then it can be proven so by decreasing diagrams. only 2 labels are needed

# (In)completeness of decreasing diagrams

### decreasing diagrams is incomplete for commutation

#### Example

$$d \blacktriangleleft b \triangleleft a_1 \blacklozenge a_2 \blacktriangleright c \triangleright d$$

#### Proof by contradiction.

consider triples of shape  $b \triangleleft_i a_1 \bowtie_j a_2 \triangleright_k c$  with labels [i, j, k]. suppose w.l.o.g.  $a_1 \triangleright_j a_2$ . then  $b \triangleleft_i a_1 \triangleright_j a_2$  can only be closed by  $b \triangleleft_{i'} a_1 \triangleleft_{j'} a_2$ . distinguish cases on the origin of the label j':

- if j' < j, then consider the triple with labels [i, j', k].
- suppose j' = i. if i' < i consider the triple with labels [i', j, k], else i' < j and consider the triple with labels [i', i, k].

# (In)completeness of decreasing diagrams

decreasing diagrams is incomplete for commutation

#### Example

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even if works, arbitrarily many labels may be needed.

## Ordered commutation

#### Theorem

#### ordered local commutation $\implies$ ordered commutation



how to read: either joinable, or the longer side is infinite

## Ordered commutation

## Theorem

ordered local commutation  $\implies$   $\triangleright$  is better than  $\blacktriangleright$ 



how to read: either joinable, or the longer side is infinite

## Lazy commutation





## Theorem

if  $\triangleright$ ,  $\triangleright$  are TRSs,  $\triangleright$  is right-linear,  $\triangleright$  is left-linear and no overlap  $\triangleright \cup \triangleright$  is terminating iff  $\triangleright$ , $\triangleright$  terminating

## Proof.

by lazy commutation