

## Uniform Completeness

Vincent van Oostrom ${ }^{1}$

${ }^{1}$ Supported by EPSRC Project EP/R029121/1 Typed lambda-calculi with sharing and unsharing.

## Completeness

## Definition

rewrite system $\rightarrow:=\langle A, \Phi$, src, tgt $\rangle$ with objects $A$ and steps $\Phi$
$\phi: a \rightarrow b$ or $a \rightarrow_{\phi} b$ denotes step $\phi$ with source $\operatorname{src}(\phi)=a$, target $\operatorname{tgt}(\phi)=b$

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rewrite system $\rightarrow:=\langle A, \Phi$, src, tgt $\rangle$ with objects $A$ and steps $\Phi$
rewrite systems have same data as multigraphs, quivers, pre-categories

## Completeness

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rewrite system is complete if confluent (CR) and terminating (SN)

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rewrite system is complete if confluent and terminating

## Lemma (Complete iff)

- locally confluent (WCR) and terminating (SN) (Newman 1942)



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## Lemma (Complete iff)

- locally confluent and terminating
- ordered locally confluent (OWCR) and normalising (WN) (this talk)



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## Theorem (Newman 1942, $\mathbb{V}^{2007)}$

ordered local confluence $\Longleftrightarrow$ random descent (RD):
if convertible to nf max reductions same length: NF $\ni a^{n} \leftrightarrow^{m} b \Longrightarrow a^{n-m} \leftarrow b$

## Example 1: Sorting by swapping adjacent inversions

## Example (RTA 2007)

$\rightarrow$ swaps adjacent out-of-order letters in finite strings of letters


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- $\rightarrow$ is ordered weak Church-Rosser:

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orthogonal

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- $\rightarrow$ is normalising by termination of some sorting algorithm, e.g. bubble sort


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- $\rightarrow$ is ordered weak Church-Rosser
- $\rightarrow$ is normalising by termination of some sorting algorithm hence $\rightarrow$ is complete because it has random descent


## Example 1: Sorting by swapping

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$\rightarrow$ swaps adjacent out-of-order letters in finite strings of letters

- $\rightarrow$ is ordered weak Church-Rosser
- $\rightarrow$ is normalising by termination of some sorting algorithm hence $\rightarrow$ is complete
and all ways of sorting a string by swapping have same length; $O\left(n^{2}\right)$


## Example 2: Bowls and beans

## Example (RTA 2007)

$\rightarrow$ moves a bean to both adjacent bowls in two-sided infinite sequence of bowls
sequence $s$ may be modelled as $s: \mathbb{Z} \rightarrow \mathbb{N}$ with $\sum s<\infty$ (finite number of beans)


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hence $\rightarrow$ is complete
and all bean runs have same length


## Incompleteness

## Example

$\rightarrow$ with steps $a \rightarrow b, b \rightarrow c$ and $a \rightarrow c$ trivially complete

## Incompleteness

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$\rightarrow$ with steps $a \rightarrow b, b \rightarrow c$ and $a \rightarrow c$ trivially complete
but reductions from a to $c$ do not have same length (1 or 2 )

## Incompleteness for length

## Example

$\rightarrow$ with steps $a \rightarrow b, b \rightarrow c$ and $a \rightarrow c$ trivially complete
$\rightarrow$ cannot be proven complete by OWCR \& WN; method of (®y 2007) incomplete

## Completeness

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$\rightarrow$ with steps $a \rightarrow b, b \rightarrow c$ and $a \rightarrow c$ trivially complete

## Idea

allow to measure steps by appropriate weights (Toyama, $\mathbb{V}^{2016)}$

## Completeness

## Example

$\rightarrow$ with steps $a \rightarrow b, b \rightarrow c$ and $a \rightarrow c$ trivially complete
Definition (Toyama, $\mathbb{V}^{2}$ 2016; with minor refinements in paper)
$\langle M, \perp,+, \leq\rangle$ derivation monoid if

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main example: ordinals with zero, addition, less-than-or-equal


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Definition (Toyama, $\mathbb{V}^{\mathscr{V}}$ 2016; with minor refinements in paper)
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- measure of finite reduction is sum (+; tail to head) of steps (starting with $\perp$ );


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## Theorem (Toyama, $\mathbb{V}^{2016}$ \& paper)

ordered local confluence (OWCR; wrt measure) $\Longleftrightarrow$ peak random descent (PR): peak to nf reductions same weight: NF $\ni a{ }_{n}^{*} \leftarrow \cdot \rightarrow_{\mu}^{\circ} b \Longrightarrow \exists k \cdot a{ }_{k}^{*} \leftarrow b \& k+\mu=n$

## Completeness

## Example

$\rightarrow$ has PR, since $a \rightarrow_{1} b, b \rightarrow_{1} c$ and $a \rightarrow_{2} c$ is OWCR

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## Uniform completeness

## Definition (for property $\Pi$ of objects)

$\rightarrow$ is uniformly $\Pi$ if all objects convertible to nf are $\Pi$

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## Definition ( $\Pi:=\mathbf{C R} \& \mathbf{S N}$ )

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## Proof of if-direction.

PR entails:

- uniform termination: if $c \rightarrow_{n} b \in \mathrm{SN}$ and, say, $b \rightarrow_{m} a \in N F$, then $m+n$ is an upperbound on measures of reductions from $c$;


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- uniform termination: if $c \rightarrow_{n} b \in \mathrm{SN}$ and, say, $b \rightarrow_{m} a \in \mathrm{NF}$, then $m+n$ is an upperbound on measures of reductions from $c$;
- NF-property: object convertible to nf reduces to it, by induction on \# peaks


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- NF-property: object convertible to nf reduces to it, by induction on \# peaks so if $b$ convertible to $\mathrm{nf} a, \operatorname{SN}(b)$ by uniform termination, ending in $a$ by NF


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## Proof of only-if-direction.

idea: measure SN objects and steps by wf topological sorting

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idea: measure SN objects and steps by wf topological sorting, by example

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measure $a$ by supremum $\{($ measure of $b)+1 \mid a \rightarrow b\}$; step $a \rightarrow b$ by dif $a$ and $b$


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uniformly complete iff has peak random descent wrt some measure

## Corollary

uniformly complete iff OWCR for some measure

## Example 3: the trivial rewrite system

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$\rightarrow$ with steps $a \rightarrow b, b \rightarrow c$ and $a \rightarrow c$

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- $\rightarrow$ OWCR for measure $a \rightarrow_{1} b, b \rightarrow_{1} c$ and $a \rightarrow_{2} c$, hence uniformly complete


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- $\rightarrow$ is trivially WN


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## Finitely branching systems

## Observation

for finitely branching (FB) systems, measures in completeness proof in $\mathbb{N}$

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+ commutative, cancellative; then OWCR $\Longleftrightarrow$ locally Dyck (Toyama, $\bigotimes^{\circledR} 2016$ )


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for finitely branching systems, measures in completeness proof in $\mathbb{N}$

and forward weights $>$ backward weights: $\forall i . n+\sum \mu_{i}^{\prime}>\sum n_{i}^{\prime}$

## Finitely branching systems

## Corollary

uniformly complete iff locally Dyck for some measure
locally Dyck if

and forward weights $>$ backward weights: $\forall i . n+\sum \mu_{i}^{\prime}>\sum n_{i}^{\prime}$

## Example 4: deep valleys but shallow conversions

## Example ( $\mathbb{V}$ 2008)

$\rightarrow$ with $b_{i} \leftarrow a_{i} \rightarrow c_{i}, b_{i} \rightarrow b_{i+1}$, and $c_{i} \rightarrow c_{i+1}$, for $1 \leq i \leq n$, with $b_{n+1}=c_{n+1}$


## Example 4: deep valleys but shallow conversions

## Example (: 2008)

$\rightarrow$ with $b_{i} \leftarrow a_{i} \rightarrow c_{i}, b_{i} \rightarrow b_{i+1}$, and $c_{i} \rightarrow c_{i+1}$, for $1 \leq i \leq n$, with $b_{n+1}=c_{n+1}$

- $\rightarrow$ locally Dyck for length measure, hence uniformly complete:

forward $3 \geq 3$ backward


## Example 4: deep valleys but shallow conversions

## Example (: 2008)

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- $\rightarrow$ locally Dyck for length measure, hence uniformly complete:

forward $3>2$ backward


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## Conclusions / Directions

(1) introduced novel notion uniform completeness (useful?)

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(2) updated derivation monoid $\Longrightarrow$ OWCR \& WN is complete for completeness

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(3) finding measures for term rewrite systems? (assoc in paper; typed $\lambda \beta$ ?)

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(4) methods / tools for proving WN? (Nao?)

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(3) finding measures for term rewrite systems?
(4) methods / tools for proving WN?
(5) proof / PL theory fertile hunting ground for WN systems? (inductive $\Longrightarrow$ WN)

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(3) finding measures for term rewrite systems?
(4) methods / tools for proving WN?
(5) proof / PL theory fertile hunting ground for WN systems?
thank you
(return to NL tomorrow night; contact me after at oostrom@javakade.nl)


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